

3 September 1958

MEMORANDUM FOR: [REDACTED] H
 SUBJECT : [REDACTED] Prediction Study

The sum of \$2,150 was received for work on the [REDACTED] H
 Prediction Study. The work was completed and the following sums
 were paid out as noted on our monthly reports.

Dr. [REDACTED]	\$500.00
Mr. [REDACTED]	500.00
Mr. [REDACTED]	500.00
Dr. [REDACTED]	500.00
Mr. [REDACTED]	100.00
Total \$2,100.00	

Balance in account \$50.00.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
 DATE 12/17/60 BY [REDACTED]

CONFIDENTIAL
 CONFIDENTIAL DIVISION

12/17/60

RECEIPT

Receipt is hereby acknowledged for Treasurer's Check No. [REDACTED] E
dated June 26, 1958, drawn on the [REDACTED]
[REDACTED] in the amount of \$2,150.00, payable to the [REDACTED] B-

Date: [REDACTED] C
7-2-58

18 June 1958

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : MKULTRA Subproject 65, Invoice No. 2
Allotment 8-2502-10-001

1. Invoice No. 2 for the above subproject is attached.
Payment should be made as follows:

Cashier's check in the amount of \$2,150.00, drawn
on a [REDACTED] and made payable to the [REDACTED] B

2. Please forward the check to Chief/TSS/Chemical
Division through TSS/Budget Officer by Wednesday, 2 July
1958.

3. This is a final invoice. A total of \$52,150.00 was
obligated under this subproject during FY 58. However, since
it is anticipated that additional funds will be obligated for this
project, the files should not be closed.

[REDACTED] A
TSS/Chemical Division

Attachments:
Invoice & Certifications

PREPARED BY THE AMOUNT OF \$2,150.00
RECEIVED. A

Distribution:
Orig & 2 - Addressee

Dr 888 002664 600.1 2502-10-001 172 2,150.00
Cr [REDACTED] 2,150.00
[REDACTED] 20104

INVOICE

For services

\$2,150.00

B

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 2 applying to Subproject 65 of MKULTRA, that performance has been satisfactory, that the services have been accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Date: _____

(2) It is hereby certified that this invoice applies to Subproject 65 under MKULTRA which was duly approved and that the project is being carried out in accordance with the memorandum dated 12 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date: _____

65-4
14 April 1958

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION : Finance Division
SUBJECT : MKULTRA, Subproject 65
Additional Authorization No. 2

Under the authority granted in the Memorandum dated 13 April 1953, from the DCI to the DE/A and the extension of this authority in subsequent memoranda, Subproject 65 was previously approved. Under the same authority an additional sum of \$2,150.00 has been authorized to cover the Subproject's expenses (thereby bringing to a total of \$52,150.00 funds obligated during FY 58), to be charged to Allotment 8-2502-10-001.

Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION
OF FUNDS:

Original signed by
Research Director

Date: 23 APR 1958

Distribution:

Orig & 2 - Addressee

1 - TSS/FASB

65-5

DRAFT

11 April 1958


MEMORANDUM FOR: THE RECORD

SUBJECT : Supplementary Funds, MKULTRA, Subproject 65

1. The purpose of the supplement to this project is to support a special study predicting the adjustment of the refugees already examined in this project. Several members of the project staff will independently rate each subject on a specified list of adjustment variables having to do with health, occupation, social relationships, and acculturation.
2. While it has been anticipated that the original budget for the current year would cover the cost of this phase, delays in acquiring and processing the original data consumed the budgeted worktime of several of the staff members. The attached supplementary budget includes only salary payments to staff members who are no longer on the project payroll.
3. The total additional cost of this project will not exceed \$2,150.00, (thereby bringing to a total of \$52,150.00 funds obligated during FY 58), to be charged against Allotment 8-2502-10.00.
4. Other specification for this project remain as stated

- 2 -

in the Memorandum for the Record dated 28 October 1957, Subject:
Continuation of Project MOULISA, Subproject 65.

 A
Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:


Research Director

Date: *April 23-1958*

Distribution:
Original only

Attachment: Supplemental Budget

SUPPLEMENTAL BUDGET, MKULTRA, Subproject 65

Consultation fees

Dr. [REDACTED] anthropologist	\$500.00
Dr. [REDACTED] Dr. [REDACTED] sociologists	1,000.00
Mr. [REDACTED] psychologist	500.00
Medical student participant observers	150.00

Total \$2,150.00

5 October 1960

MEMORANDUM FOR THE RECORD

SUBJECT: Accounting for MKULTRA Subproject 65

This project was terminated with the grant made in FY 57-58. An accounting was rendered to the Society and subsequently transmitted to us covering the terminal grant, but was treated as a part of the total money given to [REDACTED] during this fiscal period in that accounting which is on file in MKULTRA Subproject 61. B

[REDACTED] A
Chief
TSD/Research Branch

This project (\$50,000) was accounted for in the over-all

Project - MQUltra 6561

65-7

RECEIPT

Cashier's Check No. DM 43767 in the amount of \$30,000.00,
and No. DM 43768 in the amount of \$20,000.00, dated November 8,
1957, drawn on [REDACTED] payable to [REDACTED]

E
B

[REDACTED] C
Date: 13 November 1957

RECEIPT

Receipt is hereby acknowledged for Cashier's Check No. DM 43863,
dated November 13, 1957, drawn on [REDACTED] in the amount of \$20,000.00, payable to the [REDACTED]

E

B

[REDACTED] C

Date: 12-2-57

X

65-9

30 October 1957

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSS/Budget Officer

SUBJECT: MKUI,TRA Subproject 65, Invoice No. 1,
Allotment 8-2562-10-001

1. Invoice No. 1 for the above subproject is attached.
Payment should be made as follows:

Two cashier's checks, one in the amount of \$30,000.00
and one in the amount of \$20,000.00, both drawn on a
[redacted] and made payable to the [redacted] B

2. Please forward the checks to Acting Chief, TSS/
Chemical Division through TSS/Budget Officer by Friday,
8 November 1957.

3. This is a final invoice. A total of \$50,000.00 was
obligated under this subproject during FY 58. However, since it is
anticipated that additional funds will be obligated for this project, the
files should not be closed.

[redacted] A
Acting Chief
TSS/Chemical Division

Attachment:
Invoice & Certifications

Distribution:
Orig & 2 - Addressee
1 - Comptroller

De. [redacted] 1 2562-10-001 1/2 \$50,000.00
C.C. [redacted] \$50,000.00

02284

INVOICE

For services

\$50,000.00

B

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 1 applying to Subproject 65 of MKULTRA, that performance has been satisfactory, that the services have been accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Acting Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 65 under MKULTRA which was duly approved and that the project is being carried out in accordance with the memorandum dated 12 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:

65-10

28 October 1957

MEMORANDUM FOR: COMPTROLLER

ATTENTION : Finance Division

SUBJECT : MRULTNA, Subproject 65

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DU/A, and the extension of this authority in subsequent memoranda, Subproject 65 has been approved and \$30,000.00 of the over-all MRULTNA project funds has been obligated to cover the subproject's expenses. This obligation of funds should be charged to Allocation 6-2302-16-001.

APPROVED FOR OBLIGATION
OF FUNDS:[Redacted]
Acting Chief
TSS/Chemical Division

Research Director

Date:

Distribution:

Orig & 2 Addressee

- 1 - TSS/OC
- 1 - TSS/FASB
- 1 - TSS/SRB
- ✓ 2 - TSS/CD

TSS/CD/ [Redacted] 28 Oct 1957

JRN - 826
add 8-2502-16-001

65-11

Revised to: [redacted]
by authority of: [redacted]
Date: 187475
CLASSIFIED BY 187475

DRAFT

28 October 1957

MEMORANDUM FOR: THE RECORD

SUBJECT : Continuation of Project MKULTRA,
Subproject 65

1. The purpose of this project is to continue Agency support of Dr. [redacted] studies on [redacted] refugees. At the present time the first phase of this study is approaching completion. It is expected that the second phase, that of predicting certain results of the displacement of these individuals, will occupy Dr. [redacted] facility for the remainder of this year. The third, or follow-up phase on these predictions, will be made by investigators other than Dr. [redacted] C
2. This project was reviewed and approved by the Board of Directors of [redacted] at the meeting of 11 March 1957. It will be noted that the attached budget indicates an expenditure of \$87,621.00 for the coming year. Dr. [redacted] has agreed to obtain support from sources outside the Government to defray those costs exceeding \$50,000.00. B
3. The cost of the program for a period of one year 1 July 1957 is estimated to be \$50,000.00. The [redacted] will be used as a cutout for transferring the money to [redacted] Charges should be made against Allotment 8-2302-10-001. B
4. [redacted] has requested [redacted] submit to them a summary accounting on an annual basis of all monies received from the [redacted]. Also, it has been requested that any unexpended funds be returned as soon as possible upon the close of the grant year. B

Revised to: [redacted]
by authority of: 187475
Date: 187475
CLASSIFIED BY 187475

Transmitted to: [REDACTED]
by authority of: 187475
date: June 1977

RE IMPDET; CL BY 187475

5. Title to any permanent equipment purchased by funds granted the [REDACTED] shall be retained by the University in lieu of higher overhead rates.

6. In connection with travel under this project, it is agreed that the Agency will accept the travel documentation and accounting which conform with the practices of the University.

APPROVED FOR OBLIGATION
OF FUNDS:

[REDACTED] A
Acting Chief
TSS/Chemical Division

[REDACTED] A
Research Director

Date: Oct 29, 1957.

Attachment:
Proposal and Budget

Distribution:
Original only - TSS/CD

Transmitted to: [REDACTED]
by authority of: 187475
date: June 1977
RE IMPDET; CL BY 187475

Dr. [redacted]'s Project - Plans for the Coming Year, July 1957 - June 1958

We will have completed the interviewing of our [redacted] informants by July 1, 1957. In the course of six months we have examined and interviewed 70 informants - a task which required almost 1 1/2 years in the [redacted] study. The improved efficiency of the [redacted] study is in part the result of the experience gained with the investigation of the [redacted]. The rapidity with which the interviewing has been conducted has left us with a large backlog of material which is still to be transcribed and typed. Our present staff of typists will be busy for at least another six months in completing this work and our consumption of clerical supplies will remain high during this time.

With the completion of the [redacted] interviewing, the interpreters and some of the other special personnel who were hired specifically for this project will be dropped from the payroll. However, the task of digesting and collating the information and preparing the necessary reports is just beginning and will certainly occupy us for at least six months. Although our [redacted] speaking psychologist and our [redacted] anthropologist will not be giving nearly so much time to the project as they have during the past few months, it will nevertheless be necessary for them to participate in the evaluation of our information, and we will have to recompense them to some extent for their time. Our experience with the [redacted] and [redacted] leaves us with an extraordinarily large body of intensive information on a significant number of important representatives of these two societies. Although the first report on the [redacted] is now being completed, we have only begun to draw from these data much of the valuable information which can be obtained. It is my opinion that it is of great importance for the members of my staff to busy themselves during the next six months with the evaluation of the information which they have obtained and the completion of the reports based upon it.

WARNING NOTICE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

Project

-2-

During the same period, we will be engaged in planning the next step in our investigations. Preliminary conferences relating to this are now under way. We anticipate that our efforts will again be in the direction of the evaluation of significant members of other societies now under Communist domination, or otherwise of interest, and that we shall also concern ourselves with the development of methods of evaluation and assessment. Our next project should get under way some time after the first of next year, and the details will have been worked out well in advance of this date. The accompanying budget is based upon a plan of activities as outlined above.

The present stage of Dr. [redacted]'s project in brain function will have been completed by January 1, 1958 and at that time Dr. [redacted] will be transferred to this project on a full-time basis to give his time to the collation and publication of material assembled from the [redacted] and [redacted] studies. Up until that time he will be working on this project on a part-time basis.

WARNING NOTICE
OF THE INTELLIGENCE
SERIES AND METHODS INVOLVED

B. O. O.

Budget for the _____ Fiscal Year 1957-58

Dr. _____'s Project

Permanent Staff

Dr. _____	project director	11,000
Dr. _____	physician, full time	8,000
Dr. _____	physician, part time	2,000
Dr. _____	psychiatrist, part time	4,000
Dr. _____	psychologist, full time from Jan. 1, 1958	4,750
Mrs. _____	admin. asst.	4,250
Mrs. _____	secretary	3,800
Mrs. _____	secretary, part time	600
Miss _____	typist-recept.	3,300
Mrs. _____	typist	3,100
Miss _____		3,000
Mr. _____	maintenance man	1,050
		<u>48,860</u>
	5% Retire. and 2 1/4% Soc. Sec.	<u>3,512</u>
		52,402

Special salary payments for completion of _____ Project

1 psychologist until August 4, 1957	945
1 psychological statistician for collation of data, part time, 1 yr.	2,600
1 anthropologist, 6 months	1,000
Other statistical services	1,000
Additional typing (preparation of manuscripts, tape recordings, psychological reports, records, and ditting)	3,000
Supplies	3,000
Office Equipment	1,500
Technical Equipment	1,000
Library	200
Miscellaneous	1,000
Rent	10,680
Telephone	800
Travel	<u>1,500</u>

Admin. Fee 10%, minus rent

80,627

87,621

65-12

Downgraded to: [REDACTED]
 by authority of: 187475
 Date: June 1977
 E2 INFO: CL BY 187475

DRAFT

28 June 1956

MEMORANDUM FOR: THE RECORD

SUBJECT : MQUltra, Subproject 65

1. Subproject 65 is being initiated to support the work of Dr. [REDACTED], located at the [REDACTED]. For the past year, Dr. [REDACTED] has been one of the principal collaborators of Dr. [REDACTED] in the conduct of the research program of MQUltra Subproject 48. Subproject 48 was set up to continue under TSS the research activity originated under CIA special project [REDACTED]. The administrative aspects of this activity are to be carried on as MQUltra Subproject 60. The research work commenced by Dr. [REDACTED] under Subproject 48 is to be continued as Subproject 65.

2. The scope of the program to be undertaken by Dr. [REDACTED] will encompass a detailed study of those factors which influence human behavior that could be used as a means of achieving intelligence objectives. The major effort of Dr. [REDACTED]'s program will be:

- (a) To better understand the factors which cause individuals to defect, commit treason or change loyalties.
- (b) To develop skills by which potential defectors can be detected.
- (c) To develop methods for increasing the chances of defection of various target individuals.

A more detailed outline of the proposed program is attached.

3. Subproject 48 will end on 30 June 1956. The [REDACTED] estimates that there will be approximately \$4,000.00 of unexpended funds remaining. These funds were obligated in Fiscal Year 1956. Since Dr. [REDACTED] was directed by TSS/CD to enlarge the scope of his former program, it is the desire of TSS/CD to utilize the above surplus funds to finance his expanded program. Normally, the

Downgraded to: [REDACTED]
 by authority of: 187475
 Date: June 1977
 E2 INFO: CL BY 187475

Downgraded to: [redacted]
by authority of: 197475
date: 10/1/77

2 DET; C BY 197475

(2)

unused funds of a project should be returned at the end of the fiscal year. For security reasons it is not desirable to have the unexpended Society funds returned, since such return would appear very peculiar on the books of this type of organization. Instead of return, the unused money is to be reobligated within the present FY 1956.

4. At the present time, the [redacted] are in possession of these funds. Upon approval of this project, the money will be advanced to Dr. [redacted] through [redacted]. Also, an annual accounting will be obtained from the University by [redacted].

5. The \$74,000.00 of reobligated funds do not quite meet the proposed budget of \$76,056.00. If the additional \$2,056 is required at the end of the year, further funds will be requested at that time.

6. Title to any permanent equipment purchased by funds granted the University shall be retained by them in lieu of higher overhead rates.

7. In connection with travel under this project, it is agreed that the Agency will accept the travel documentation and accounting which are standard for the University.

8. Dr. [redacted] has an Agency TOP SECRET Covert clearance.

[redacted]
TSS/Chemical Division

APPROVED:

[redacted]
Acting Chief,
TSS/Chemical Division

Attachment:
Proposal & Budget

Distribution:
Original Only - TSS/CD

TSS/CD/ [redacted] (28 Jun 56)

APPROVED FOR OBLIGATION OF FUNDS:

[redacted]
Research Director

Date: June 28, 1956

Downgraded to [REDACTED]
by authority of 187475
date 1977
E2 IMPDET; CL BY 187475

[REDACTED] PROJECT II

B

1. Practical Agency objectives of this study from which the scientific objectives of the [REDACTED] Project issue:

To develop materials and techniques for influencing human behavior as one means of achieving clandestine intelligence objectives. Specifically, to conduct research and development work that will enable Agency personnel to:

- (a) Better understand the factors which cause individuals to defect, commit treason or change loyalties.
- (b) Develop skills by which potential defectors can be detected.
- (c) Develop methods for increasing the chances of defection of various target individuals.

2. Scientific objectives of the [REDACTED] Project II.

To systematically study defection in order to:

- (a) Define the psychological characteristics of individuals who have defected, with particular emphasis on the interaction of the psychological

Downgraded to [REDACTED]
by authority of: 187475
date 1977
E2 IMPDET; CL BY 187475

Downgraded
by authority of: 187475
date: June 1977
E2 DET; CL BY 187475

(2)

and situational factors acting at the time of their defection.

- (b) Define the circumstances under which men have defected from military, political, religious, social and cultural groups and evaluate the factors appearing to influence such defections.
- (c) Study individuals who have maintained loyalty in the face of persistent, sustained attempts to change, affect and alter this loyalty and evaluate the factors appearing to contribute to this resistance.

Definitions:

"Defection" is defined as the conscious breach of allegiance or duty to a value system, cause, person, ideology, philosophy or organization, replaced by a willingness to actively support systems, etc., which are antagonistic to the position previously held.

Downgraded to:
by authority of: 187475
date: June 1977
E2 DET; CL BY 187475

Declassified for: [redacted]
by authority of: 187475
date: June 1977
E2 187475, CL BY 187475

(3)

To "systematically study" refers to (a) the assemblage and evaluating as much as possible of the pertinent information on the subject of defection viewed as an historical, sociological and psychological phenomenon; (b) examining a sample of known defectors and known resisters according to predetermined methods (medical, psychiatric, sociological and psychological); (c) systematically and objectively recording the results of such examinations; and (d) collating and evaluating the data obtained in order to determine significant differences, isolate important characteristics and test comparative methods for determining these differences and characteristics.

3. Status: A working group, primarily composed of professionals formerly engaged in the "Brainwashing" and "Chinese Cultural" studies has been formed. Much of the material already collected in these studies has applicability to the proposed study outlined above. This group has prepared a plan of action and they are ready to begin operation whenever requested. A major part of the study can be completed in one year.

Declassified for: [redacted]
by authority of: 187475
date: June 1977
E2 187475, CL BY 187475

BUDGET:

Project II

Payroll	54,725
Retirement	2,736
Social Security	916
Consultant Fees	1,000
Statistical Services	800
Supplies	2,000
Furn. & Equipment	1,245
Travel & Entertainment	4,000
Reference Library	100
Telephone	400
Maintenance	1,200
Conference Expenses	500
Electricity	600
Miscellaneous	<u>200</u>
Subtotal	\$70,422
Overhead at 8%	<u>5,634</u>
TOTAL	\$76,056

B

65-13

☐ UNCLASSIFIED☐ CIA INTERNAL
USE ONLY☐ CONFIDENTIAL☒ SECRET

ROUTING AND RECORD SHEET

SUBJECT: (Optional)

MOULTRA Subproject 65

FROM:

TSS/CD

NO.

DATE

28 June 1956

TO: (Officer designation, room number, and building)

DATE

REC'D

FWD'D

OFFICER'S
INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

1.

AC/TSS/CD

28 June

2.

AC/TSS/R&D

6/28

3.

TSS/OC

6/28

4.

~~TSS/F&S~~

7/9

5.

~~TSS/SIB~~

6.

~~COMPTROLLER 1039 Alcott~~

7.

c/cn

8.

9.

10.

11.

12.

13.

14.

15.

PLEASE EXPEDITE

4 → 7.

The financial boys say that this document is not required by them since all funds approved under this have been drawn out & passed to the Project. They suggest we keep the paper in Project 78 files with a copy in project 65, for the benefit of the auditor.

FORM 1 DEC 55 610 USE PREVIOUS EDITIONS

☒ SECRET☐ CONFIDENTIAL☐ CIA INTERNAL
USE ONLY☐ UNCLASSIFIED

65-14

28 June 1956

A


MEMORANDUM FOR: COMPTROLLER

ATTENTION : Finance Division

SUBJECT : NKULTRA, Subproject 65 and 48

1. Under the authority granted in the memorandum dated 13 April 1953, from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 48 was previously approved. During Fiscal Year 1956, \$240,479.00 was approved and obligated for this project.

2. The scope of the project has been reduced so that only \$166,479.00 of the originally appropriated FY 56 funds will be used. The balance, amounting to \$74,000.00 has been transferred to NKULTRA, Subproject 65, under the authority cited in paragraph 1 above.



Acting Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:

23 JUN

Research Director

Distribution:
Orig & 2 - Addressee



65-18

INVESTMENT STATEMENT

INVESTMENT STATEMENT 6.5

Date of Original Investment	Period Covered	Time Interval to	Original Investment	Amount of Gain or Loss
28 Oct 57	1 Year		82582.10-001	50,000.00

Date of Investment	Period Covered	Time Interval to	Original Investment	Amount of Gain or Loss
#2			82582.10-001	2,150.00

Source No.	Date	Amount	Balance
#1	30 Oct 57	50,000.00	
#2	18 June 58	2,150.00	2,150.00

add. amt

REMARKS:

CERTIFICATION

(3) It is hereby certified that the program under subprojects 39, 81 and 65 have been satisfactorily completed and returned unused funds. However, subproject 60, which is still continuing also refunded money. Therefore, it is requested that the amounts as shown below on Invoices Master 1 of subproject 124 be credited to the subprojects as shown below.

<u>Project</u>	<u>Amount</u>	<u>POB</u>	<u>Allotment</u>
39	\$1,366.86	143	5-2502-10-001
81	1,977.52	63	9-2502-55-002
65	50.00	204	8-2502-10-001
60	1,690.00	119	9-2502-55-002

Chief, USA/Research Branch

Date: _____

Research Director

Date: _____